



ALEXANDER STOCK SC 司徒歷資深大律師

Call: 2001 (HK); 1998 (England and Wales)
Inner Bar: 2016

Fellow of the Hong Kong Institute of Arbitrators
Associate Member of the Chartered Institute of Arbitrators
CEDR Accredited Mediator

PROFILE

Alexander Stock SC advises and appears as advocate, principally in the area of commercial litigation and arbitration. He is listed as a “leading silk” for commercial disputes in Hong Kong, in the 2021 Edition of the Legal 500, Asia-Pacific. Alex’s commercial practice includes arbitration, banking, company law, insurance, professional negligence (auditors, solicitors, banks, brokers), and sale of goods. His regulatory experience extends to advising investment banks and others in relation to the Securities and Futures Ordinance, appearing before the Market Misconduct Tribunal, and cases involving the regulation and discipline of certified public accountants. Alex has acted for financial institutions on various cases regarding alleged mis-selling of investment products and derivative instruments, as well as unauthorised trading, letters of credit and issues arising from fraud claims. He has substantial experience of international arbitration, and challenges to arbitration awards before the Hong Kong courts.

Alex has also been instructed in numerous sets of judicial review proceedings, for both applicant and respondent, with an emphasis on town planning and matters relating to the Buildings Ordinance.

The 2021 Edition of the Legal 500, Asia-Pacific, describes Alex’s advocacy as “unruffled in the face of difficult opposition”, and comments that “he works very well as a team member plus is extremely calm when under pressure – a very valuable quality with difficult lay clients in tow”.

CONTACT

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SECRETARY

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PRACTICE AREAS

- Arbitration
- Banking / Finance
- Building / Construction
- Civil Fraud / Asset Recovery
- Commercial
- Commission of Inquiry / Inquest
- Company
- Conflict of Laws

- Confidentiality / Privacy
- Disciplinary
- Planning
- Insurance
- Insolvency
- Injunction / Contempt
- Mediation
- Professional Liability
- Public Law / Judicial Review
- Securities & Regulation

APPOINTMENTS AND PUBLIC OFFICE

- Deputy High Court Judge (2018, 2019, 2020 and 2021)

EDUCATION

MA Jurisprudence, Oxford University (First Class)

LLM, Cambridge University (First Class)

SCHOLARSHIP AND PRIZES

McAulay Scholarship (St. John's College, Cambridge)

McMahon Law Studentship (St. John's College, Cambridge)

College Prize (St. John's College, Cambridge)

Bull Scholarship (Somerville College, Oxford)

Bull Exhibition (Somerville College, Oxford)

Distinction at Law Moderations (Oxford)

SELECTED CASES

COMMERCIAL

- *Autonomous Non-Commercial Organization "Organizing Committee of XXII Olympic Winter Games and XI Paralympic Games of 2014 in Sochi" v. Pico Projects (International) Ltd* [2021] 2 HKLRD 246, [2020] 6 HKC 171, – common law enforcement of Russian judgment in Hong Kong, principle against enforcement of foreign revenue and penal laws
- *But Ka Chon v. Interactive Brokers LLC* [2019] 4 HKLRD 85, [2019] 5 HKC 238, [2019] HKCA 873 - principles governing the exercise of insolvency jurisdiction where the disputed debt is subject to an arbitration agreement
- *Re China Shanshui Cement Group Limited* HCCW 248 of 2018
- Leading counsel in a Hong Kong-based international arbitration regarding sale of a tungsten mine located in Mongolia (2018)
- Leading counsel in a Hong Kong-based international arbitration claim for indemnity in excess of US\$30 million on distribution agreement relating to the sale of goods (2017-2018)
- Acted for insurers in 3-week Hong Kong arbitration US\$ 25 million claim under a fidelity insurance policy

led by John Lockey QC (2014)

- *Great City Enterprises Ltd v. UBS AG* [2014] 5 HKC 361
- *Nokia Corp v. TCT Mobile* [2014] 2 HKLRD 43
- *Yantai Wanhua Polyurethanes Co Ltd v. Pur Products Ltd* [2013] 1 HKLRD 590
- *Wingames Investments Ltd v. Mascot Land (No. 2)* [2013] 1 HKLRD 1186 (CA)
- *Dynasty Line Limited v. Sukanto Sia & Anr* [2009] 4 HKLRD 454 (CA)
- *Unruh v. Seeberger* (2007) 10 HKCFAR 31 (CFA)
- *Ong v. Malaysian Airline System BHD* [2008] 3 HKLRD 153 (CA)

SECURITIES & REGULATION

- *The Registrar of the Hong Kong Institute of Certified Public Accountants v. the Disciplinary Committee of the Hong Kong Institute of Certified Public Accountants* [2020] HKCFI 2553, [2020] 5 HKLRD 262
- *Registrar of Hong Kong Institute of Certified Public Accountants v. X* [2017] 3 HKLRD 541 (CA)
- Advising a leading financial institution in a regulatory investigation as part of a team of London and Hong Kong counsel (2017-2018)
- *In the matter of the Listed Securities of CITIC Limited (formerly known as CITIC Pacific Limited) and others, Market Misconduct Tribunal, 2015-2016* (Report dated 7 April 2017)
- *Public Inquiry with regard to the Application for License by Jetstar Hong Kong Airways Limited, Air Transport Licensing Authority, 2015* (Report dated 25 June 2015)
- Advising on various issues under the Securities and Futures Ordinance (Cap.571) and in relation to regulatory and disciplinary matters arising thereunder

PROFESSIONAL LIABILITY

- Acting for an auditor in a professional negligence claim in excess of USD500 million (2019 to date)
- *Asia-Pac Infrastructure Development Limited v Shearman & Sterling, Herbert Smith and others* [2021] HKCFI 1380 – trial of preliminary issue in professional negligence claim for over USD300 million (also [2012] 3 HKLRD 321 (CA))
- *Topping Chance Development Ltd v. CCIF CPA Ltd* [2020] HKCA 478 – alleged audit negligence, reflective loss
- *Moulin Global Eyecare Holdings Limited (in liquidation) v. Olivia Lee Sin Mei and others* HCA 167/2008
- *Wong Shui Kee Roger v. Victor Chu & Co* [2011] 4 HKLRD 594 (CA)
- *Nam Tai Electronics Inc v. PricewaterhouseCoopers* (2008) 11 HKCFAR 62 (CFA)

BANKING / FINANCE

- *Jin Wei Cheng v. UBS AG* HCA 632/2012
- *China Man-Made Fibre Corporation v. Goldman Sachs (Asia) LLC* HCA 2756/2008
- *Formosa Taffeta Co Ltd v. Banque Indosuez* [2009] 1 HKLRD 568 (CFI)

PLANNING

- *Hysan Development Co Ltd & Ors v. Town Planning Board* (2016) 19 HKCFAR 372 (CFA), [2015] 1 HKLRD 865 (CA), [2012] 5 HKC 432 (CFI)
- *Building Authority v. Appeal Tribunal (Buildings)* (2015) 18 HKCFAR 317 (CFA), [2014] 1 HKLRD 716 (CA), [2013] 1 HKLRD 716 (CFI)
- *Real Estate Developers Association of Hong Kong Limited v. Town Planning Board* [2015] 2 HKC 327, [2016] 2 HKLRD 642
- *Fortune Key Limited v. Appeal Tribunal (Buildings)* HCAL 82/2009, Reyes J, 7 May 2010
- *Anderson Asphalt Ltd v. Secretary for Justice* [2010] 5 HKLRD 490 (CA)
- *Building Authority v. Appeal Tribunal (Buildings)* [2010] 3 HKC 368 (CFI) (acted as amicus curiae)
- *China Field v. Appeal Tribunal (Buildings)* (2009) 12 HKCFAR 342 (CFA)
- *Sun Honest Development Ltd v. Appeal Tribunal (Buildings)* [2005] 2 HKC 582 (CA)

PUBLIC LAW / JUDICIAL REVIEW

- *Law Fei Shing v. The Hong Kong Institute of Certified Public Accountants* HCMP 748/2015, 21 April 2016 (CA)

- *Kwok Ping Sheung Walter v. Law Society of Hong Kong*, HCAL 73/2013, Au J, 27 September 2013
- *Rowse v. Secretary for Civil Service* [2008] 5 HKLRD 217 (CFI)
- *Chan Noi Heung v. Chief Executive* [2008] 3 HKC 452 (CA)
- *Chan Po Fun Peter v. Cheung CW Winnie* (2007) 10 HKCFAR 676 (CFA)
- *Koo Sze Yiu v. Chief Executive* (2006) 9 HKCFAR 441 (CFA)
- *Leung TC William Roy v. Secretary for Justice* [2006] 4 HKLRD 211 (CA)
- *Liu Pik Han v. Hong Kong Federation of Insurers Appeal Tribunal* [2005] 3 HKC 242 (CFI)

OTHERS

- *Li Shiu To v. Cheung Pik Ng* [2015] 1 HKLRD 42 (CA)
- *Chinachem Charitable Foundation Limited v. Chan Chun Chuen* [2011] 4 HKC 582
- *HKSAR v. Kong Hing Agency Ltd* [2008] 2 HKLRD 461 (CA) (acted as amicus curiae)
- *Re Fook Hau Trading Co Ltd* [2008] 1 HKLRD 365 (CFI)