

## 2020 Best of the Best Awards

(Updated on 02.03.20)

| Categories                                 |                              | Remarks  | Winners                                           |
|--------------------------------------------|------------------------------|----------|---------------------------------------------------|
| <b>Best of the Best Performance Awards</b> |                              |          |                                                   |
| 1                                          | ASEAN Equity                 | 3 Years  | Sumitomo Mitsui Trust Asset Management            |
|                                            | ASEAN Equity                 | 10 Years | Public Mutual Berhad                              |
| 2                                          | Asia Pacific Equity ex-Japan | 3 Years  | First State Investments                           |
|                                            | Asia Pacific Equity ex-Japan | 10 Years | First State Investments                           |
| 3                                          | Asian Bonds                  | 3 Years  | AXA Investment Managers                           |
|                                            | Asian Bonds                  | 10 Years | Eastspring Investments                            |
| 4                                          | Asian Hedge Fund             | 3 Years  | Global Value Chain Investment Corporation Limited |
|                                            | Asian Hedge Fund             | 5 Years  | Income Partners Asset Management (HK) Limited     |
| 5                                          | Asian REITs                  | 3 Years  | Sumitomo Mitsui Trust Asset Management            |
| 6                                          | China A-Share Equity         | 3 Years  | AllianzGI                                         |
|                                            | China A-Share Equity         | 10 Years | China Universal Asset Management                  |
| 7                                          | CNY Bonds, Onshore           | 3 Years  | China Southern Asset Management Co., Ltd          |
|                                            | CNY Bonds, Onshore           | 5 Years  | Bosera Asset Management Co., Limited              |
| 8                                          | European Equity              | 3 Years  | Capital Group                                     |
|                                            | European Equity              | 10 Years | T. Rowe Price                                     |
| 9                                          | European High Yield          | 3 Years  | Invesco Hong Kong                                 |
|                                            | European High Yield          | 10 Years | Invesco Hong Kong                                 |
| 10                                         | Global Aggregate Bonds       | 3 Years  | Brandywine Global Investment Management           |
|                                            | Global Aggregate Bonds       | 10 Years | Brandywine Global Investment Management           |
| 11                                         | Global Emerging Markets Debt | 3 Years  | BNP Paribas Asset Management                      |
|                                            | Global Emerging Markets Debt | 10 Years | Amundi                                            |

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|------------|--------------------------------|----------|-----------------------------------------------|
| 12         | Global Emerging Markets Equity | 3 Years  | Vontobel Asset Management                     |
|            | Global Emerging Markets Equity | 10 Years | T. Rowe Price                                 |
| 13         | Global Equity                  | 3 Years  | Wellington Management                         |
|            | Global Equity                  | 10 Years | Capital Group                                 |
| 14         | Global Inflation Linked Bonds  | 10 Years | AXA Investment Managers                       |
| 15         | Global Infrastructure          | 3 Years  | DWS                                           |
|            | Global Infrastructure          | 10 Years | Cohen & Steers Capital Management, Inc.       |
| 16         | Global Multi-Asset             | 3 Years  | DWS                                           |
|            | Global Multi-Asset             | 10 Years | BNY Mellon Investment Management              |
| 17         | Global Quantitative Strategy   | 3 Years  | Vontobel Asset Management                     |
|            | Global Quantitative Strategy   | 10 Years | Robeco                                        |
| 18         | Global Real Estate             | 3 Years  | DWS                                           |
|            | Global Real Estate             | 10 Years | DWS                                           |
| 19         | Global REITs                   | 3 Years  | Cohen & Steers Capital Management, Inc.       |
|            | Global REITs                   | 10 Years | AMP Capital                                   |
| 20         | Japan Small Cap Equity         | 3 Years  | Asset Management One Co., Ltd.                |
|            | Japan Small Cap Equity         | 10 Years | Sumitomo Mitsui Trust Asset Management        |
| 21         | RMB Bonds, Offshore            | 3 Years  | BOCHK Asset Management Limited                |
|            | RMB Bonds, Offshore            | 5 Years  | Income Partners Asset Management (HK) Limited |
| 22         | US Credit, Investment Grade    | 3 Years  | AllianceBernstein                             |
|            | US Credit, Investment Grade    | 10 Years | AXA Investment Managers                       |

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|------------|---------------------|----------|-----------------------------------------------|
| 23         | US High Yield       | 3 Years  | PineBridge Investments Management Taiwan Ltd. |
|            | US High Yield       | 10 Years | Nomura Asset Management                       |
| 24         | US Small Cap Equity | 3 Years  | T. Rowe Price                                 |
|            | US Small Cap Equity | 10 Years | T. Rowe Price                                 |

## Best of the Best Country Awards

### Brunei

|   |                         |                                  |
|---|-------------------------|----------------------------------|
| 1 | Best Investor Education | UOB Asset Management (B) Sdn Bhd |
|---|-------------------------|----------------------------------|

### China

|    |                                        |                                                                   |
|----|----------------------------------------|-------------------------------------------------------------------|
| 1  | Best Domestic Private Fund Manager     | Schroder Investment Management (Shanghai) Co., Ltd.               |
| 2  | Best Enterprise Annuity Scheme         | Changjiang Pension Insurance Co., Ltd.                            |
| 3  | Best Equity Manager                    | China Universal Asset Management                                  |
| 4  | Best Fund House                        | China Asset Management Co., Ltd.                                  |
| 5  | Best Occupational Annuity Manager      | Changjiang Pension Insurance Co., Ltd.                            |
| 6  | Best WFOE House                        | Eastspring Investments                                            |
| 7  | CEO of the Year                        | Jiang Xiangyang<br>Bosera Asset Management Co., Limited           |
|    | Joint Venture CEO of the Year          | Kang, Le<br>Invesco Great Wall Fund Management Company Limited    |
| 8  | CIO of the Year                        | Chen, Wenyu<br>Invesco Great Wall Fund Management Company Limited |
| 9  | ETF Index Provider of the Year         | China Securities Index Co., Ltd.                                  |
| 10 | ETF Manager of the Year                | China Asset Management Co., Ltd.                                  |
| 11 | Fintech Innovation in Asset Management | China Southern Asset Management Co., Ltd                          |

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|------------------|----------------------------------------|-----------|----------------------------------------------------------|
| <b>Hong Kong</b> |                                        |           |                                                          |
| 1                | Best China Fund House                  |           | Bosera Asset Management (International) Co., Limited     |
| 2                | Best ESG Manager                       |           | First State Investments                                  |
| 3                | Best Member Communications             |           | CLP Holdings Limited                                     |
| 4                | Best New ETF                           |           | China Asset Management (Hong Kong) Limited               |
| 5                | Best ORSO Scheme                       |           | CLP Holdings Limited                                     |
| 6                | Best Retail House                      |           | AllianceBernstein Hong Kong Limited                      |
| 7                | Best RMB Manager                       |           | BOCHK Asset Management Limited                           |
| 8                | CIO of the Year                        |           | Qing Wan<br>China Universal Asset Management (Hong Kong) |
| 9                | ETF Manager of the Year                |           | CSOP Asset Management Limited                            |
| 10               | Fintech Innovation in Asset Management |           | GaoTeng Global Asset Management Limited                  |
| 11               | Fund Launch of the Year                | Co-Winner | CSOP Asset Management Limited                            |
|                  | Fund Launch of the Year                | Co-Winner | GaoTeng Global Asset Management Limited                  |
| <b>India</b>     |                                        |           |                                                          |
| 1                | Best Equity Manager                    |           | Ajay Tyagi<br>UTI Asset Management Company Ltd           |
| 2                | Best Fund House                        |           | Nippon Life India Asset Management                       |
| 3                | Best Investor Education                |           | Aditya Birla Sun Life AMC Limited                        |
| 4                | Best Pension Fund Manager              |           | UTI Retirement Solutions Limited                         |
| 5                | CEO of the Year                        |           | A. Balasubramanian<br>Aditya Birla Sun Life AMC Limited  |
| 6                | ETF Index Provider of the Year         |           | NSE Indices Limited                                      |
| 7                | ETF Manager of the Year                |           | Nippon Life India Asset Management                       |
| 8                | Fintech Innovation in Asset Management |           | Nippon Life India Asset Management                       |
| 9                | Index Provider of the Year             |           | NSE Indices Limited                                      |
| <b>Indonesia</b> |                                        |           |                                                          |
| 1                | Best Bond Manager                      |           | Syailendra Capital                                       |
| 2                | Best Fund House                        |           | PT Manulife Aset Manajemen Indonesia                     |
| 3                | Best Islamic Fund House                |           | PT. BNP Paribas Asset Management                         |
| 4                | Best Islamic Product                   |           | PT. BNP Paribas Asset Management                         |
| 5                | CEO of the Year                        |           | Fajar Rachman Hidajat<br>Syailendra Capital              |
| 6                | Fintech Innovation in Asset Management |           | Syailendra Capital                                       |

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| <b>Japan</b>    |                                         |           |                                                           |
| 1               | Best Equity Manager                     |           | Katsunori Ogawa<br>Sumitomo Mitsui Trust Asset Management |
| 2               | Best ESG Engagement Initiative          |           | Asset Management One Co., Ltd.                            |
| 3               | Best Institutional House                |           | Sumitomo Mitsui Trust Asset Management                    |
| 4               | Best Retail House                       |           | Nikko Asset Management                                    |
| 5               | ETF Manager of the Year                 |           | Nikko Asset Management                                    |
| 6               | Fund Launch of the Year                 |           | Nikko Asset Management                                    |
| <b>Korea</b>    |                                         |           |                                                           |
| 1               | Best Institutional House                |           | Shinhan BNP Paribas AMC                                   |
| 2               | CIO of the Year                         |           | HongGon Kim<br>DGB Asset Management                       |
| <b>Malaysia</b> |                                         |           |                                                           |
| 1               | Best Bond Manager                       |           | Public Mutual Berhad                                      |
| 2               | Best Equity Manager                     |           | Kenanga Investors Group                                   |
| 3               | Best ESG Manager                        |           | BNP Paribas Asset Management Malaysia                     |
| 4               | Best House for Alternatives             |           | Kenanga Investors Group                                   |
| 5               | Best Investor Education                 |           | Bursa Malaysia Berhad                                     |
| 6               | Best Institutional House                |           | Affin Hwang Asset Management Bhd                          |
| 7               | Best Islamic Fund House                 |           | RHB Islamic International Asset Management Berhad         |
| 8               | Best Islamic Product                    | Co-Winner | Pheim Asset Management Sdn Bhd                            |
|                 | Best Islamic Product                    | Co-Winner | Public Mutual Berhad                                      |
| 9               | Best Pension Fund Manager               |           | AmInvest                                                  |
| 10              | Best Retail House                       |           | Public Mutual Berhad                                      |
| 11              | CEO of the Year                         |           | Teng Chee Wai<br>Affin Hwang Asset Management Bhd         |
| 12              | CIO of the Year                         |           | Lee Sook Yee<br>Kenanga Investors Group                   |
| 13              | Fintech Innovation in Asset Management  |           | Eastspring Investments                                    |
| 14              | Fund Launch of the Year                 |           | Kenanga Investors Group                                   |
| 15              | Islamic Institutional House of the Year |           | BNP Paribas Asset Management Malaysia                     |
| 16              | Islamic Retail House of the Year        |           | Public Mutual Berhad                                      |

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| <b>Singapore</b> |                                        |         |                                                                        |
| 1                | Best Bond Manager                      |         | Fullerton Fund Management Company Ltd.                                 |
| 2                | Best Boutique Bond Manager             |         | Silverdale Capital Pte Ltd                                             |
| 3                | Best ESG Manager                       |         | First State Investments                                                |
| 4                | Best Institutional House               |         | Lion Global Investors                                                  |
| 5                | Best Retail House                      |         | AllianceBernstein Singapore Limited                                    |
| 6                | ETF Manager of the Year                |         | Nikko Asset Management                                                 |
| 7                | Fintech Innovation in Asset Management |         | UOB Asset Management                                                   |
| 8                | Fund Launch of the Year                |         | Fullerton Fund Management Company Ltd.                                 |
| <b>Taiwan</b>    |                                        |         |                                                                        |
| 1                | Best Equity Manager                    |         | Nomura Asset Management Taiwan Ltd. (Nomura SITE)                      |
| 2                | Best ESG Manager                       |         | Neuberger Berman Taiwan (SITE) Limited                                 |
| 3                | Best House for Offshore Funds          |         | AllianceBernstein Investments Taiwan Limited                           |
| 4                | Best Institutional House               |         | Cathay Securities Investment Trust Co., Ltd.                           |
| 5                | Best Investor Education                |         | CTBC Investments                                                       |
| 6                | Best New ETF                           |         | Cathay Securities Investment Trust Co., Ltd.                           |
| 7                | Best Pension Fund Manager              |         | Nomura Asset Management Taiwan Ltd. (Nomura SITE)                      |
| 8                | Best Pension Plan Sponsor              |         | Private School Faculties Service Pension Fund                          |
| 9                | Best Retail House                      |         | AllianceBernstein Investments Taiwan Limited                           |
| 10               | CEO of the Year                        |         | Derek Yung<br>AllianceBernstein Investments Taiwan Limited             |
| 11               | CIO of the Year                        |         | Vincent Bourdarie<br>Nomura Asset Management Taiwan Ltd. (Nomura SITE) |
| 12               | ETF Manager of the Year                |         | Yuanta Securities Investment Trust Co., Ltd.                           |
| 13               | Fintech Innovation in Asset Management |         | Taipei Fubon Commercial Bank Co., Ltd.                                 |
| 14               | Fund Launch of the Year                |         | Yuanta Securities Investment Trust Co., Ltd.                           |

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| <b>Thailand</b>                         |                                             |         |                                                                         |
| 1                                       | Best Bond Manager                           |         | Krungsri Asset Management Company Limited                               |
| 2                                       | Best Fund House                             |         | Kasikorn Asset Management Co., Ltd.                                     |
| 3                                       | Best House for Alternatives                 |         | Kasikorn Asset Management Co., Ltd.                                     |
| 4                                       | Best Investor Education                     |         | Krungsri Asset Management Company Limited                               |
| 5                                       | Best Member Communications                  |         | The Registered Provincial Electricity Authority Provident Fund "PEAPVD" |
| 6                                       | Best Multi-Asset Manager                    |         | Bangkok Capital Asset Management                                        |
| 7                                       | Best Pension Fund Manager                   |         | Kasikorn Asset Management Co., Ltd.                                     |
| 8                                       | Best Pension Plan Sponsor                   |         | The Registered Provincial Electricity Authority Provident Fund "PEAPVD" |
| 9                                       | CIO of the Year                             |         | Dr. Thanavut Pornrojngangkool<br>Bangkok Capital Asset Management       |
| 10                                      | Fintech Innovation in Asset Management      |         | KTB Securities (Thailand) Public Company Limited                        |
| 11                                      | Fund Launch of the Year                     |         | Eastspring Investments                                                  |
| <b>Vietnam</b>                          |                                             |         |                                                                         |
| 1                                       | Best Fund House                             |         | VinaCapital                                                             |
| 2                                       | CEO of the Year                             |         | Don Lam<br>VinaCapital                                                  |
| 3                                       | CIO of the Year                             |         | Ngo The Trieu<br>Eastspring Investments                                 |
| <b>Best of the Best Regional Awards</b> |                                             |         |                                                                         |
| 1                                       | Alternatives House of the Year              |         | LGT Capital Partners                                                    |
| 2                                       | Asian Asset Consultant of the Year          |         | Mercer                                                                  |
| 3                                       | Asian Bond House                            |         | HSBC Global Asset Management                                            |
| 4                                       | Asian Hedge Fund House of the Year          |         | Income Partners Asset Management (HK) Limited                           |
| 5                                       | Asian Rising Star                           |         | GaoTeng Global Asset Management Limited                                 |
| 6                                       | Best Application of ESG                     |         | First State Investments                                                 |
| 7                                       | Best Asset Management House                 |         | UOB Asset Management                                                    |
| 8                                       | Best Client Servicing Award                 |         | BNY Mellon                                                              |
| 9                                       | Best ESG Manager in Asia                    |         | BNP Paribas Asset Management                                            |
| 10                                      | Best ESG Strategy – Pension Fund            |         | Government Pension Fund, Thailand                                       |
| 11                                      | Best Factor Investing Manager               |         | Robeco                                                                  |
| 12                                      | Best Fund Administrator for Pooled Products |         | State Street                                                            |
| 13                                      | Best Global Custodian in Asia-Pacific       |         | BNP Paribas Securities Services                                         |



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|----|----------------------------------------------|---------|-----------------------------------------|
| 14 | Best Impact Investing Manager                |         | BNP Paribas Asset Management            |
|    | Best Impact Investing Manager (Japan)        |         | Asset Management One Co., Ltd.          |
| 15 | Best Infrastructure Manager                  |         | First State Investments                 |
| 16 | Best Insurance Asset Manager                 |         | Goldman Sachs Asset Management          |
| 17 | Best Multi-Asset House                       |         | Willis Towers Watson                    |
| 18 | Best New ETF                                 |         | CSOP Asset Management Limited           |
| 19 | Best Real Assets House                       |         | Cohen & Steers Capital Management, Inc. |
| 20 | Best Securities Financing House              |         | State Street                            |
| 21 | Best Smart Beta Strategy                     |         | S&P Dow Jones Indices                   |
| 22 | Best Specialist Custodian in Asia-Pacific    |         | BNY Mellon                              |
| 23 | CIO of the Year in Asia                      |         | Sean Taylor<br>DWS                      |
| 24 | ETF Index Provider of the Year in Asia       |         | S&P Dow Jones Indices                   |
| 25 | ETF Manager of the Year                      |         | CSOP Asset Management Limited           |
| 26 | Fintech Innovation in Asian Asset Management |         | Assured Asset Management Limited        |
| 27 | Index Provider of the Year                   |         | S&P Dow Jones Indices                   |
| 28 | Leadership in Fund Management                |         | AllianceBernstein                       |
| 29 | Management Consultancy of the Year           |         | Alpha Financial Markets Consulting      |
| 30 | Pension Plan Sponsor of the Year             |         | National Pension Service (Korea)        |
| 31 | Pensions Woman of the Year                   |         | Janet Li<br>Mercer                      |

### Best of the Best ASEAN Awards

|   |                                                     |           |                                                                 |
|---|-----------------------------------------------------|-----------|-----------------------------------------------------------------|
| 1 | ASEAN Asset Consultant of the Year                  |           | Mercer                                                          |
| 2 | ASEAN Fund Launch of the Year                       |           | Fullerton Fund Management Company Ltd.                          |
| 3 | Best Application of ESG (ASEAN)                     |           | BNP Paribas Asset Management                                    |
| 4 | Best ESG Manager (ASEAN)                            |           | BNP Paribas Asset Management                                    |
| 5 | Best Pension Fund for Member Communications (ASEAN) |           | Government Pension Fund, Thailand                               |
| 6 | ETF Index Provider of the Year in ASEAN             |           | S&P Dow Jones Indices                                           |
| 7 | Fintech Innovation in Asset Management (ASEAN)      | Co-Winner | BNY Mellon Data and Analytics Solutions                         |
|   | Fintech Innovation in Asset Management (ASEAN)      | Co-Winner | Lu International (Singapore) Financial Asset Exchange Pte. Ltd. |
| 8 | Index Provider of the Year in ASEAN                 |           | S&P Dow Jones Indices                                           |