



GUJARAT TECHNOLOGICAL UNIVERSITY

Program Name: Bachelor of Vocation

Level: Under Graduate

Branch: Banking Financial Services and Insurance

Subject Code : BV01021051

Subject Name : Role of Various Regulatory Bodies

w. e. f. Academic Year:	2026-27
Semester:	1
Category of the Course:	Core Courses

Prerequisite:	NA
Rationale:	This subject builds overall view of Financial sector and help students to become financially aware and responsible customers. Their study helps learners connect regulation with economic development.

Course Outcome:

After Completion of the Course, Student will able to:

No	Course Outcomes
01	Understand role of various regulatory bodies
02	Learn role of SEBI for Securities Market
03	Understand functions and role of RBI for Banking sector
04	Know and learn the role and functions of IRDA

Teaching and Examination Scheme:

Teaching Scheme (in Hours)			Total Credits L+T+ (PR/2)	Assessment Pattern and Marks				Total Marks
L	T	PR	C	Theory		Tutorial / Practical		
				ESE (E)	PA / CA (M)	PA/CA (I)	ESE (V)	
0	0	2	1	000	00	20	30	50

Course Content:

Unit No.	Content	No. of Hours	% of Weightage
1.	Needs of regulatory body for Share Market, Banking and Insurance sector, Evolution of SEBI, Evolution of RBI , Evolution of IRDA	6	25%
2.	Introduction of SEBI, Objectives, Types of Markets, Regulatory role of SEBI, Powers and functions of SEBI, Guidelines for Broker, Guidelines for Investor, Listing process , Rules & Regulations for stock exchange, Guidelines for IPO proceedings	10	25%



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3.	Introduction of RBI, Functions of RBI, Regulatory role of RBI for Banking sector, NPA norms, safety norms of RBI for banking customers	7	25%
4.	Introduction of IRDA, Functions of IRDA, Guidelines for insurance companies, Guidelines for insurance holders, guidelines for insurance agents	7	25%
5.			
Total			100

Suggested Specification Table with Marks (Theory):

Distribution of Theory Marks					
R Level	U Level	A Level	N Level	E Level	C Level
NA	NA	NA	NA	NA	NA

Where R: Remember; U: Understanding; A: Application, N: Analyze and E: Evaluate C: Create (as per Revised Bloom's Taxonomy)

References/Suggested Learning Resources:

(a) Books:

1. Bharti Pathak, Pearson publication, latest edition
2. Official website of NISM

(b) Open source software and website:

1. Official website of RBI
2. Official website of SEBI
3. Official website of IRDA

Suggested Course Practical List: If any NA

List of Laboratory/Learning Resources Required: NA

Suggested Project List: NA

Suggested Activities for Students: If any NA

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