



# GUJARAT TECHNOLOGICAL UNIVERSITY

Syllabus for Master of Business Administration (Part-Time), 5<sup>th</sup> Semester

Subject Class: Sectoral \_ Elective

Subject Name: Financial Markets and Services (FMS)

Subject Code: 4559992

With effective  
from academic  
year 2018-19

## 1. Learning Outcomes:

Learning Outcome Component	Learning Outcome
Business Environment and Domain Knowledge (BEDK)	<ul style="list-style-type: none"> <li>Demonstrate an awareness of the current structure and regulation of the Indian financial services sector.</li> </ul>
Critical thinking, Business Analysis, Problem Solving and Innovative Solutions (CBPI)	<ul style="list-style-type: none"> <li>Develop insights regarding concept and mechanism of various financial markets and services.</li> <li>Evaluate and create strategies to promote financial products and services.</li> </ul>
Global Exposure and Cross-Cultural Understanding (GECCU)	<ul style="list-style-type: none"> <li>Understand the international financial instruments and services and the mechanics and conventions of global financial markets.</li> </ul>
Social Responsiveness and Ethics (SRE)	<ul style="list-style-type: none"> <li>Aims to help students understand and practice the highest standards of ethical behaviour associated with the profession of management of financial markets and services.</li> <li>Identify conflicts of interest between market participants and between principal and agents.</li> </ul>
Effective Communication (EC)	<ul style="list-style-type: none"> <li>Develop the ability to discuss and write about the financial instruments and their role in financial planning.</li> </ul>
Leadership and Teamwork (LT)	<ul style="list-style-type: none"> <li>Develop skills to evaluate an individual's assets, needs and prepare plans for efficient wealth management.</li> </ul>

2. **Course Duration:** The course duration is of **40 sessions of 60 minutes each.**

## 3. Course Contents:

Module No:	Contents	No. of Sessions	70 Marks (External Evaluation)
I	<p><b>Introduction to Financial Services:</b> Meaning, Characteristics, Distinctiveness and Classification of Financial Services.</p> <p><b>Introduction to Indian Financial System</b> Formal and Informal Sectors, Components of Formal Financial System including Financial Markets, Financial Institutions, Financial Services and Financial Instruments. Financial Inclusion and Exclusion</p> <p><b>Types of Financial Markets</b> <i>Money Market</i> and Instruments, <i>Capital Market</i>: Primary and Secondary Market, Overview of Foreign Exchange Market</p> <p><b>Regulation of Financial System:</b> Role of RBI, SEBI, IRDA</p>	10	18
II	Factoring and Forfaiting, Housing finance, Merchant Banking and Issue Management, Stock Broking, Credit Rating, Custodial and Depository Service, Leasing and	10	18



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	Hire Purchase		
III	<b>Banking: Introduction to Banking Structure</b>  <b>NBFCs:</b> Types and Overview of regulations for NBFCs in India  <b>Specialized Financial Services</b> – Microfinance, Venture Capital, Private Placement, Private Equity, Securitization & Asset Reconstruction Company. Overview of Credit Information Reporting.	10	17
IV	<b>Mutual Fund:</b> Concept, Types, Structure of Mutual Fund, Mutual Fund Schemes, Calculation of NAV, SIP, SWP.  <b>Crowdfunding:</b> Concept of crowdfunding, types of crowdfunding platforms, crowdfunding in India. Basics of Crypto currency	10	17
V	<b>Practical:</b> 1. To prepare a report on the penetration digital financial services (online banking, virtual wallets and crowdfunding) in different countries of the world. 2. Understanding of various scams in Financial Markets 3. Learning from movies (e.g.: GAFLA, The Wall Street, Fall of Lehman Brothers etc.) 4. Any real life case related to Indian Financial System	---	(30 marks CEC)

#### 4. Pedagogy:

- ICT enabled Classroom teaching
- Case study
- Practical / live assignment
- Interactive class room discussions

#### 5. Evaluation:

Students shall be evaluated on the following components:

A	<b>Internal Evaluation</b>	<b>(Internal Assessment- 50 Marks)</b>
	• Continuous Evaluation Component	30 marks
	• Class Presence & Participation	10 marks
	• Quiz	10 marks
B	<b>Mid-Semester examination</b>	<b>(Internal Assessment-30 Marks)</b>
C	<b>End –Semester Examination</b>	<b>(External Assessment-70 Marks)</b>

#### 6. Reference Books:

Sr. No.	Author	Name of the Book	Publisher	Year of Publication
1	Thummuluri Siddaiah	Financial Services	Pearson	2011
2	Bharti Pathak	Indian Financial System	Pearson	2018 / 5 <sup>th</sup>
3	K Sasidharan, Alex K Mathews	Financial Services & System	McGraw Hill	2008



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4	M Y Khan	Financial Services	McGraw Hill	2015 / 8 <sup>th</sup>
5	M Y Khan	Indian Financial Systems	McGraw Hill	2017 / 10 <sup>th</sup>
6	E. Gordon & K. Natarajan	Financial Markets & Services	Himalaya Publication	2016 / 10 <sup>th</sup>
7	L M Bhole, JitendraMahakud	Financial Institution and Markets	McGraw Hill	2017 / 6 <sup>th</sup>
8	Sujatra Bhattacharyya	Indian Financial System	Oxford	2017 / 1 <sup>st</sup>

Note: Wherever the standard books are not available for the topic appropriate print and online resources, journals and books published by different authors may be prescribed.

## 7. List of Journals / Periodicals / Magazines / Newspapers / Web resources, etc.

1. Journal of Financial Services Research
2. Chartered Financial Analyst
3. Economic Times
4. Business Standard
5. Financial Express
6. Harvard Business Review