

**GUJARAT TECHNOLOGICAL UNIVERSITY**

**Syllabus for Bachelor of Vocation (B.Voc), 2<sup>nd</sup> Semester**  
**Branch: Branch: Banking Finance Services and Insurance**  
**Subject Name: Mutual Fund Operations – II**  
**Subject Code: 1122104**

**With effective  
from academic  
year 2019-20**

**Teaching and Examination Scheme:**

Teaching Scheme			Credits C	Examination Marks				Total Marks
L	P	OJT		Theory		Tutorial/ Practical		
			University exams (ESE)	Progressive Assessment (PA)	External Practical /viva Exam (ESE)	Internal evaluation Practical /viva Exam (PA)		
3	-	-	3	50	-	-	-	50

L- Lectures; P- Practical; OJT- On Job Training; C- Credit; ESE- End Semester Examination; PA- Progressive Assessment

**Content:**

Sr. No.	Content	Hrs.
1	<b>Investor and distributor processes and payouts:</b> Individual mutual funds distributors, Employees of organization engaged in sales and distribution of mutual funds. Different distribution channels (Traditional and alternate).	8
2	<b>Handling Monetary Transactions:</b> Mutual fund fees, entry and exit load, risk measurement, types of return. Valuation Process. Taxation.	9
3	<b>Handling Non– monetary Transactions:</b> Valuation, accounting, legalities and Taxation aspect of mutual funds and their distribution.	10
4	<b>SEBI’s Role and Relevant Regulations:</b> Role of SEBI, SEBI advertising code for mutual funds, Norms regarding return representation of mutual funds.	8
	<b>Total</b>	<b>35</b>

**Suggested Specification table with Marks (Theory):**

Distribution of Theory Marks				
R Level	U Level	A Level	N Level	E Level
5	10	20	10	5

**Reference Books:**

- (1) Mobius Mark, “ Mutual Funds: An Introduction to the Core Concepts”, Wiley.com
- (2) Sundar Sankaran, “Indian Mutual Funds Handbook”, Vision books
- (3) Shashikant, Abraham and Bhargava, “Understanding Mutual Funds”, McGraw Hill Publications.
- (4) Tripathy, “Mutual funds in India”, Excel Books.

**Course Outcomes:**

After learning the course the students should be able to understand:

1. The advanced level of mutual fund.
2. Understanding different distribution channels of mutual fund.
3. Risks involved in the matter of investment in the market.
4. SEBI’s role and norms for mutual funds.