

**GUJARAT TECHNOLOGICAL UNIVERSITY**

**Syllabus for Bachelor of Vocation (B.Voc), 2<sup>nd</sup> Semester**  
**Branch: Branch: Banking Finance Services and Insurance**  
**Subject Name: Indian Securities Market – II**  
**Subject Code: 1122102**

**With effective  
from academic  
year 2019-20**

**Teaching and Examination Scheme:**

Teaching Scheme			Credits	Examination Marks				Total Marks
L	P	OJT		Theory		Tutorial/ Practical		
			University exams (ESE)	Progressive Assessment (PA)	External Practical /viva Exam (ESE)	Internal evaluation Practical /viva Exam (PA)		
3	-	-	3	50	-	-	-	50

L- Lectures; P- Practical; OJT- On Job Training; C- Credit; ESE- End Semester Examination; PA- Progressive Assessment

**Content:**

Sr. No.	Content	Hrs.
1	<b>The Securities Market:</b> Knowing organizations and functioning of securities market. Characteristics of well-functioning market. Call v/s continuous market. Exchange membership. Industry analysis. Participants in securities market.	8
2	<b>The Primary market and Secondary Market.</b> Primary equity market. Public issue. Private placement. Qualified Institutional Placement. Secondary market meaning and characteristics. NSE. BSE. Trading and settlement. Transaction cost. Stock market indices in India	9
3	<b>Mutual Funds</b> Entities in Mutual funds. Open ended v/s close ended. Equity schemes. Hybrid schemes. Debt schemes. Regulation of the investment of mutual funds. Rating of Mutual fund schemes. Mutual fund evaluation. Pros and Cons of Mutual funds. Functioning of Mutual Fund Organization. Process of Mutual fund investment.	10
4	<b>Portfolio Management</b> Interrelationship among various phases of investment. Strategic asset allocation. Equity portfolio management strategies. Bond portfolio management strategies. Portfolio execution. Portfolio revision. Performance evaluation (Only Theory)	8
5	<b>Practical:</b> Visit of stock Exchange for showing/ making students aware about online trading. Visit of Mutual fund organizations for making students understand about practice execution of various schemes.	
	<b>Total</b>	<b>35</b>

**Suggested Specification table with Marks (Theory):**

<b>Distribution of Theory Marks</b>				
R Level	U Level	A Level	N Level	E Level
5	10	20	10	5



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**Reference Books:**

1. Financial Management Principles and Practices by Sudhindra Bhatt  
2<sup>nd</sup> Edition. Excel Books
2. Investment analysis and Portfolio Management by Prasanna Chandra  
5<sup>th</sup> Edition. McGrawhill Education
3. Security analysis and Portfolio Management by Rohini Singh  
1<sup>st</sup> Edition. Excel Books
4. Security analysis and Portfolio Management (Indian Edition) by Reilly/ Brown  
8<sup>th</sup> Edition. Cengage Learning

**Course Outcomes:**

After learning the course the students should be able to understand:

1. Basics of securities and security market.
2. Understanding of stock exchanges.
3. Depth of Primary and Secondary market.
4. Public issue.
5. Mutual funds and its various schemes.
6. Mutual fund investment process and functioning.